

|  |   |  |
|--|---|--|
| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE   |   | DATE: June 7, 2005                                   |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE<br>(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  |   | U.S. Application No. (if known):<br><b>10/337813</b> |
| INTERNATIONAL APPLICATION NO.:<br><b>PCT/JP2003/015501</b>   | INTERNATIONAL FILING DATE:<br><b>December 3, 2003</b> | PRIORITY DATE CLAIMED:<br><b>December 13, 2002</b>   |
| TITLE OF INVENTION: <b>SOLID ELECTROLYTIC CAPACITOR AND FABRICATION METHOD THEREFOR</b>  |   |  |
| APPLICANT(S) FOR DO/EO/US: <b>Kazuhiro TAKATANI et al.</b>   |   |  |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |  |
| <p>1. <u>XX</u> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <u>  </u> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <u>XX</u> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <u>XX</u> The US has been elected (Article 31).</p> <p>5. <u>XX</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p>a. <u>  </u> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <u>XX</u> has been transmitted by the International Bureau.</p> <p>c. <u>  </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <u>XX</u> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <u>XX</u> is attached hereto.</p> <p>b. <u>  </u> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <u>XX</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <u>  </u> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <u>  </u> have been transmitted by the International Bureau.</p> <p>c. <u>  </u> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <u>XX</u> have not been made and will not be made.</p> <p>8. <u>  </u> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <u>XX</u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <u>  </u> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> |   |  |
| ITEMS 11. TO 20. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:  |   |  |
| <p>11. <u>XX</u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO/SB/08 and 4 documents.</p> <p>12. <u>XX</u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br/>ASSIGNEE NAME AND ADDRESS: <b>SANYO ELECTRIC CO., LTD., Moriguchi City, Japan</b><br/>Please publish the assignee data with the application.</p> <p>13. <u>  </u> A preliminary amendment.</p> <p>14. <u>  </u> An Application Data Sheet under 37 C.F.R. 1.76</p> <p>15. <u>  </u> A substitute specification.</p> <p>16. <u>  </u> A change of power of attorney and/or address letter.</p> <p>17. <u>  </u> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</p> <p>18. <u>  </u> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <u>  </u> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <u>XX</u> Other items or information: 4 sheets of drawings.</p>   |   |  |

|   |  |  |  |                    |  |
|---|--|--|--|--------------------|--|
| U.S. APPLICATION NO. (if known)<br><b>10/537813</b> |  | INTERNATIONAL APPLICATION NO.<br>PCT/JP2003/015501 |  | DATE: June 7, 2005 |  |
|---|--|--|--|--------------------|--|

|   |  |  |              |              |
|---|--|--|--------------|--------------|
| 21. <u>XX</u> The following fees are submitted: |  |  | CALCULATIONS | PTO USE ONLY |
| Basic National Stage Fee                        |  |  | \$ 300.00    |              |
| National Stage Search Fee                       |  |  | \$ 500.00    |              |
| National Stage Examination Fee                  |  |  | \$ 200.00    |              |
| ENTER APPROPRIATE BASIC FEE AMOUNT =            |  |  | \$ 1,000.00  |              |

|  |  |  |  |  |
|--|--|--|--|--|
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). |  |  |  |  |
|--|--|--|--|--|

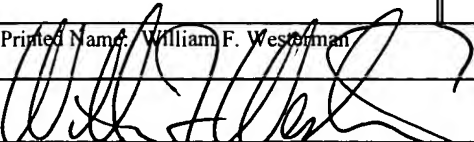
| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE        |               |    |
|--|--------------|--------------|-------------|---------------|----|
| TOTAL  | 24 -20 =     | 4            | X \$ 50.00  | \$ 200.00     |    |
| INDEPENDENT  | 4 - 3 =      | 1            | X \$ 200.00 | \$ 200.00     |    |
| Multiple dependent claims(s) (if applicable)   |              |              | + \$360.00  |               |    |
| TOTAL OF ABOVE CALCULATIONS =  |              |              |             | \$ 1,400.00   |    |
| National Stage Application Size Fee-for each additional 50 sheets that exceeds 100 sheets \$ 250.00.   |              |              |             |               |    |
| Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).   |              |              |             |               |    |
| SUBTOTAL =   |              |              |             | \$ 1,400.00   |    |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +                      |              |              |             |               |    |
| TOTAL NATIONAL FEE =   |              |              |             | \$ 1,400.00   |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + |              |              |             | \$ 40.00      |    |
| TOTAL FEES ENCLOSED =  |              |              |             | \$ 1,440.00   |    |
|  |              |              |             | Amount to be: |    |
|  |              |              |             | refunded      | \$ |
|  |              |              |             | charged       | \$ |

|              |   |
|--------------|---|
| a. <u>XX</u> | A check in the amount of \$ 1,440.00 to cover the above fees is enclosed. (\$300.00 for basic national stage fee; \$500.00 for national stage search fee; \$200.00 for national stage examination fee, \$200.00 for 1 additional independent claim, \$200.00 for 4 additional claims and \$40.00 for the assignment recordation fee). |
| b.           | Please charge my Deposit Account No. 50-2866 in the amount of \$ to cover the above fees. (A duplicate copy of this sheet is enclosed.)   |
| c. <u>XX</u> | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2866.  |

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

|  |   |
|--|---|
| Send All Correspondence To:  | WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP<br>1250 Connecticut Avenue, N.W.<br>Suite 700<br>Washington, D.C. 20036<br>CUSTOMER No. 38834 |
| Typed or Printed Name: William F. Westerman  | Reg. No.: 29,988  |
| Signature:  | Date: June 7, 2005  |

WFW/yap